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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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09/039,438

03/16/1998

WOO-SUP SHIN

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30827

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07/21/2010

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EXAMINER

ZERVIGON, RUDY

ART UNIT

PAPER NUMBER

1716

MAIL DATE

DELIVERY MODE

07/21/2010

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 09/039,438	<b>Applicant(s)</b> SHIN ET AL.	
	<b>Examiner</b> Rudy Zervigon	<b>Art Unit</b> 1716	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 28 May 2010.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-6,8 and 9 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-6,8 and 9 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                     | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

## **DETAILED ACTION**

### ***Continued Examination Under 37 CFR 1.114***

1. A request for continued examination under 37 CFR 1.114 was filed in this application after a decision by the Board of Patent Appeals and Interferences, but before the filing of a Notice of Appeal to the Court of Appeals for the Federal Circuit or the commencement of a civil action. Since this application is eligible for continued examination under 37 CFR 1.114 and the fee set forth in 37 CFR 1.17(e) has been timely paid, the appeal has been withdrawn pursuant to 37 CFR 1.114 and prosecution in this application has been reopened pursuant to 37 CFR 1.114. Applicant's submission filed on May 28, 2010 has been entered.

### ***Claim Rejections - 35 USC § 103***

2. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

3. Claims 1, 2, 7 are rejected under 35 U.S.C. 103(a) as being unpatentable over Schutt (US 3,532,568 A) in view of Chung et al (U. S. Pat. No. 5,000,795), Kanda (U.S.Pat. 4,338,157), and Allies, Victoria R. et al (U.S.Pat. 5,560,838). Schutt discloses an etching process and apparatus for chemically etching (“etching zone 1”; Sole figure) material from a substrate (copper, abstract). An etched product (iron; column 2, lines 1-10) is etched in unit 1 (Applicant’s “etch bath”) thereby at least contacting the solid with the aqueous liquid (sulfuric acid, HCl; column 1, lines 65-68) and the resulting liquid (3) is passed through an ion exchanger (8) to remove the ions from the rinse liquid which is reused or discharged. The solids are removed from an etcher (“etch bath”) via a stream (3) which passes into a rinse chamber (5) including outlet pipe (7). The rinse liquid stream (7) then goes through an ion exchanger means (8, second tank). A

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replenishing solution (9) from the ion exchange means is combined with the bulk storage tank (11, first tank) going to the etcher (1). The bulk storage tank (11, first tank) has a stream flowing to the etcher (1) for etching the product.

Schutt does not disclose an immersion of a substrate in an etched bath or a bubble plate used therein.

Chung et al disclose a bubble plate (17) located on the floor of a tank (10; Fig. 1). The bubble plate (17) transmits inert gas to create a bubbling condition within the tank (10) for sufficient agitation (col. 1, lines 60-68). Silicon substrates (14; column 3, lines 44-48) are immersed in an etch bath ("hot sulfuric acid"; 13; Fig. 2; col. 2, lines 25-38; column 3, lines 44-48).

At the time of the invention it would have been obvious to a person of ordinary skill in the art to replace the spray etcher of Schutt with the etch bath and bubble plate of Chung et al.

The motivation for doing so would be to replace the etchant delivery means (ie, sparger etcher) with an alternate and equivalent etching means (ie a bath etcher).

Schutt and Chung et al do not teach a temperature sensor and control unit.

Kanda et al disclose a process control system (45, 47-57; Figure 10; column 9, line 12 – column 10, line 47) having a thermocouple for measuring the temperature of the etching solution (8, Figure 2; column 9, lines 22-23) used to etch a submerged substrate (2, Figure 3). Kanda specifically teaches a control unit (45, 47-57; Figure 10; column 9, line 12 – column 10, line 47) for receiving a signal indicating the temperature (T) of the etchant from a temperature sensor ("thermocouple") and transmitting an etching termination signal (P \_ 0) to the etch bath when the temperature reaches a target temperature. Further, Kanda teaches the etched thickness (Q; column 10, lines 10-15) of the substrate is derived from the temperature (T) of the first etchant.

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Schutt, Chung, and Kanda do not teach using the total reaction energy as a reference. Schutt, Chung, and Kanda do not teach a controller that controls the first tank, the etch bath and the second tank. Schutt, Chung, and Kanda do not teach using gravity (i.e. weight) for separating the diluted etchant from the residual material.

Allies teaches a controller (340; Figure 3; column 3, lines 55-60) that controls the volume of fluid within numerous process tanks (column 3, lines 58-67), including controlling the temperature of said tank(s) (column 3, lines 58-67) resulting from numerous input signals (column 4, lines 1-10). Allies further teaches teach using gravity (i.e. weight) for separating the etchant ( $\text{CuCl}_2$  etchant – column 3, lines 37-40) from residual material by mass/material filtration in filtration tank 338, Figure 3 – column 5, line 64 - column 6, line 5

Allies further teaches a concentration measuring device (pH, via sensor 330; column 5; lines 13-29) disposed at a first tank (320; Figure 3) for measuring a concentration of a first etchant ( $[\text{H}^+]$ ) in a first tank (320; Figure 3); a temperature sensor (318, 319) installed in the etch bath (301/313), the temperature sensor measuring and monitoring a temperature of the etchant while the glass substrate is etched in the etch bath based on the to temperature information from the temperature sensor.

At the time of the invention it would have been obvious to a person of ordinary skill in the art to control the etching operation for the etching apparatus of Schutt with the chemical processing control system of Kanda and Allies including using the total reaction energy as a reference by replacing Kanda's temperature in any of Kanda's "Q" equations (column 10) with "reaction

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energy” as derived from the well know thermodynamic relationship between molar enthalpy (per unit mass), heat capacity, and temperature<sup>1</sup>:

$$\frac{\partial H}{\partial T} \equiv c_p$$

The motivation for controlling the etching operation for the etching apparatus of Schutt and Chung et al with the chemical processing control system of Kanda and Allies, using “reaction energy”, would have been to detect the termination of etching appropriately and precisely as taught by Kanda (column 10, lines 44-47) by an alternate a equivalent means of detecting said termination in using “reaction energy”.

At the time of the invention it would have been obvious to a person of ordinary skill in the art to add Allies’s mass/material separation filtration tank to Schutt’s processing system.

The motivation to add Allies’s mass/material separation filtration tank to Schutt’s processing system is to further purifying the recycled spent etchant solution as taught by Allies (column 5, line 64 - column 6, line 5).

Therefore, it would have been obvious to a person of ordinary skill in the art to combine Schutt with Chung et al and Kanda to obtain the invention.

Applicant’s claim requirement of:

“

wherein the first etchant includes a mixed etchant having a etching solution, a water, and the separated diluted etchant from the second tank so that the mixed etchant is supplied to the etch bath and the concentration measuring device measures the concentration of the mixed etchant.

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<sup>1</sup> As demonstrated (MPEP 2116.01) in Physics for Scientists & Engineers, 2<sup>nd</sup> Ed. R.A. Serway, Saunders College Publishing, 1986. pp. 428 (see top-most equation).

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“ are claim requirements of intended use in the pending apparatus claims. Further, it has been held that claim language that simply specifies an intended use or field of use for the invention generally will not limit the scope of a claim (Walter , 618 F.2d at 769, 205 USPQ at 409; MPEP 2106). Additionally, in apparatus claims, intended use must result in a structural difference between the claimed invention and the prior art in order to patentably distinguish the claimed invention from the prior art. If the prior art structure is capable of performing the intended use, then it meets the claim (In re Casey, 152 USPQ 235 (CCPA 1967); In re Otto , 136 USPQ 458, 459 (CCPA 1963); MPEP 2111.02).

4. Claims 3-6, 8, 9 are rejected under 35 U.S.C. 103(a) as being unpatentable over Schutt (US 3,532,568 A) in view of Chung et al (U.S.Pat.5,000,795), Kanda (U.S. Pat. No. 4,886,590), and Allies, Victoria R. et al (U.S.Pat. 5,560,838), and further in view of Jones et al (U.S. Pat. No. 3,869,313).

Schutt, Chung, Kanda, and Allies are discussed above.

Schutt, Chung, Kanda, and Allies do not disclose expressly a rinse and drying bath for the substrate.

As to claims 3-5, 8, 9, and 12, Jones et al disclose a chemical processing apparatus containing a plurality of treatment chambers having a dip chamber with filling pumps, a spray chamber which serves as a rinse chamber or a drying chamber (col. 2, lines 20-39 and 63-68; col. 3, lines 1-10). The rinse chamber would be filled with deionized water from a deionized reservoir (col. 2, lines 52-55). An essential part of the apparatus is a conveyor means for automatically transferring the workpieces from treatment chamber to treatment chamber. (Fig. 1; Col. 3, lines 50-55). The conveyor allows for a plurality of substrates to be processed substantially at the same time. Using

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a pump to move fluid from one chamber to another is conventional. Jones further teaches a controlled heater 67" (column 2, lines 28-35) used in the treatment chamber that may be used as a drying chamber (column 3, lines 1-3).

As to claim 6, Jones et al disclose a cleaning/etching solution containing hydrofluoric acid (col. 5, lines 49-60; col. 6, lines 33-35 and 51-54). Jones et al disclose cone shaped bottom tanks (Figs. 6A-B).

At the time of the invention it would have been obvious to a person of ordinary skill in the art to combine the multiple chambers for rinsing and drying of Jones et al with the etching apparatus of Schutt, Chung et al, and Kanda.

The motivation for doing so would have been to provide treating operations such as rinsing and drying of substrates as taught by Jones et al.

### ***Response to Arguments***

5. Applicant's arguments filed May 28, 2010 have been fully considered but they are not persuasive.

6. Applicant states:

“

Applicants believe the application is in condition for allowance and early, favorable action is respectfully solicited

“

In response, the Examiner disagrees. The art of record identically teaches the amended, added, claim limitations. See above. The March 29, 2010 BPAI decision affirmed the Examiner's



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rejections based on the same art of record and grounds in this action and the December 12, 2006 final rejection.

***Conclusion***

7. This is a request for continuation (RCE) of applicant's earlier Application No. 09039438. All claims are drawn to the same invention claimed in the earlier application and could have been finally rejected on the grounds and art of record in the next Office action if they had been entered in the earlier application. Accordingly, **THIS ACTION IS MADE FINAL** even though it is a first action in this case. See MPEP § 706.07(b). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no, however, event will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Examiner Rudy Zervigon whose telephone number is (571) 272-1442. The examiner can normally be reached on a Monday through Thursday schedule from 8am through 6pm EST. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300. Any Inquiry of a general nature or relating to the status

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of this application or proceeding should be directed to the Chemical and Materials Engineering art unit receptionist at (571) 272-1700. If the examiner can not be reached please contact the examiner's supervisor, Parviz Hassanzadeh, at (571) 272- 1435.

/Rudy Zervigon/

Primary Examiner, Art Unit 1792